

**COMPLETION OF FILING NATIONAL PHASE OF PCT APPLICATION**  
**UNDER RULE 35 USC 371 AND 37 CFR 1.494(C) OR 1.495(C)**

**BOX PCT**

**COMPLETION**  
**For PCT Cases Only**

Attn: Application Division

In re PATENT APPLICATION of

Inventor(s): BERGMANN ET AL

Appln. No.: 09 | 806,437  
 Series Code ↑ | Serial No. ↑

Atty. Dkt. P 279277 | 2892US  
 M# | Client Ref

National Phase Field

Based on PCT EP99 | 07692  
 ↑ Country Code & Year

Title: METHOD AND SUBSTANCES FOR DIAGNOSIS  
AND THERAPY OF SEPSIS AND SEPSIS-LIKE  
SYSTEMIC INFECTIONS

Date: May 29 2001

**FILING OF ITEM(S) LATE IN PCT/USA NATIONAL CASE**

Asst. Commissioner of Patents  
 Washington, DC 20231

Sir:

The following completes the filing of the subject application under Rule 494(c)/495(c). Please accept the following attached items:

1. Missing Requirements Notice (PCT/DO/EO/905) ☒ copy attached ☐ not yet received
2. ☒ **Signed Declaration** ☒ Original ☐ Facsimile/Copy ☐ with spec/claims attached
3. ☐ **Translation** of the International Application into English including:
  - a. ☐ Request;
  - b. ☐ Abstract
  - c. ☐ pgs. Spec. and Claims;
  - d. ☐ Translation verification
  - e. ☐ sheets Drawing which are: ☐ informal ☐ formal of size ☐ A4 ☐ 11"
4. ☐ Copy of **International Search Report (ISR)** attached (☐ page(s))
  - a. ☐ plus Annex of family members (☐ page(s))
5. **Information Disclosure Statement** including
  - a. ☐ From PTO-1449 listing documents
  - b. ☐ Copies of document(s) listed on Form PTO-1449
  - c. ☐ A concise explanation of ISR references is given in the ISR
6. ☒ **Assignment** and cover sheet. Please return the recorded assignment to the undersigned.
7. ☐ Copy of Power to international application agent.
8. 1 (No.) Small Entity Statement(s) establishing "small entity" status under Rules 9 & 27.
9. ☐ Formal Drawings: ☐ sheet(s) ☐ informal; ☐ formal of size: ☐ A4 ☐ 11"
10. ☒ Please immediately start national examination procedures (35 USC 371(f))

11. ☐ Attached:
12. ☐ Preliminary Amendment:
13. ☒ Basic U.S. National fee per Rule 492(a)(1)-(4) was previously timely filed.:
14. **Calculation of remaining fees due (if any):** based on amended claim(s) per above item  
☐ 12 (above) or item(s) in PAT-112 (filed previously) ☐ 12 ☐ 14 ☐ 17 ☐ 25
15. **CLAIMS FEES** ☐ previously paid ☒ paid herewith as follows:
- 15A. Small Entity Statement ☒ Herewith ☐ Previously Filed

				Large/Small Entity		Fee Code
16. Total Effective Claims	12	minus 20 =	0	x \$18/\$9	+0	966/967
17. Independent Claims	6	minus 3 =	3	x \$80/\$40	+120	964/965
18. If <u>any proper</u> multiple dependent claim (ignore improper) is present,				\$270/\$135	+135	968/969
19. Filing Declaration late, fee paid <input type="checkbox"/> previously <input checked="" type="checkbox"/> now				\$130/\$65	+65	154/254
20. <b>SUBTOTAL</b>					<b>\$320</b>	
21. <b>Original due date:</b> July 9, 2001						
22. <b>Petition is hereby made</b> to extend the <u>original</u> due date to cover the date this response is filed for which the requisite fee is attached				(1 mo) \$110/\$55 = (2mos) \$390/\$195 = (3mos) \$890/\$445 = (4mos) \$1390/\$695 =	+0	115/215 116/216 117/217 118/218
23. If "non-English" box 3 is X'd, add Rule 17(k) processing fee				\$130	+0	156
24. If "assignment" box 6 is X'd, add recording fee				\$40	+40	581
25. <b>TOTAL FEE ENCLOSED =</b>					<b>\$360</b>	

(Our Deposit Account No. 03-3975)

(Our Order No. 11377 | 279277  
C# M#)

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

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**NOTE:** File in duplicate with PTO receipt (PAT-103A) and attachments

05/31/2001 UEDUVIJE 00000078 09806437

01 FC:965 120.00 OP  
 02 FC:969 135.00 OP  
 03 FC:254 65.00 OP